

To open a Dealing Account please visit www.selftrade.co.uk or complete and sign this application form and post it to the address given in the form. Please read this form in conjunction with the Selftrade Terms and Conditions. If you have any questions about this form please call Customer Services on **0345 0700 720**. Please complete all fields in this form (where applicable).

If a company has made you aware of this product, or you have a promotional code, please complete the company name/code here:

Section A Account Details

Title Mr Mrs Miss Ms Other

First name(s)

Last name

Date of birth

Primary contact number Mobile phone number

Email address

Country of birth Town of birth

Mother's maiden name

Permanent home address
Postcode

Tax Residence Details:

Country of tax residence

National Insurance/Tax Identification Number I have no National Insurance Number/Tax Identification Number

If you do not have a National Insurance Number/Tax Identification Number, please indicate why

Additional country of tax residence (1) (2)

Taxpayer Identification Number (1) (2)

Are you a United States Green Card holder? Yes No

Nationality Details:

Please disclose your nationality details, by completing the country of each (up to three) in the boxes below.

Primary Nationality Details:

If you have sole UK Nationality, you do not need to complete this part. For all other customers, you will need to establish your Primary Nationality and complete the relevant National Identifier & National ID Code for this in the box below, according to the instructions in the Appendix (at the end of the form) or using www.nationalitycalculator.co.uk.

	National Identifier	National ID Code	Tick if unable to provide
First Priority	<input type="text"/>	<input type="text" value="1"/>	<input type="checkbox"/>
Second Priority	<input type="text"/>	<input type="text" value="2"/>	<input type="checkbox"/>

Section A Joint Applicant Details - second applicant

Title	Mr <input checked="" type="checkbox"/>	Mrs <input checked="" type="checkbox"/>	Miss <input checked="" type="checkbox"/>	Ms <input checked="" type="checkbox"/>	Other <input type="text"/>
First name(s)	<input type="text"/>				
Last name	<input type="text"/>				
Date of birth	<input type="text" value="DDMMYYYY"/>				
Primary contact number	<input type="text"/>	Mobile phone number	<input type="text"/>		
Email address	<input type="text"/>				
Country of birth	<input type="text"/>	Town of birth	<input type="text"/>		
Mother's maiden name	<input type="text"/>				
Permanent home address	<input type="text"/>				
	Postcode <input type="text"/>				

Tax Residence Details:

Country of tax residence	<input type="text"/>		
National Insurance/Tax Identification Number	<input type="text"/>	I have no National Insurance Number/ Tax Identification Number	<input checked="" type="checkbox"/>
If you do not have a National Insurance Number/ Tax Identification Number, please indicate why	<input type="text"/>		
Additional country of tax residence (1)	<input type="text"/>		
Taxpayer Identification Number (1)	<input type="text"/>		
Additional country of tax residence (2)	<input type="text"/>		
Taxpayer Identification Number (2)	<input type="text"/>		
Are you a United States Green Card holder?	Yes <input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>

Nationality Details:

Please disclose your nationality details, by completing the country of each (up to three) in the boxes below.

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Primary Nationality Details:

If you have **sole UK Nationality**, you do not need to complete this part. For all other customers, you will need to establish your Primary Nationality and complete the relevant National Identifier & National ID Code for this in the box below, according to the instructions in the **Appendix** (at the end of the form) or using www.nationalitycalculator.co.uk.

	National Identifier	National ID Code	Tick if unable to provide
First Priority	<input type="text"/>	<input type="text" value="1"/>	<input type="checkbox"/>
Second Priority	<input type="text"/>	<input type="text" value="2"/>	<input type="checkbox"/>

Section A Joint Applicant Details - third applicant

Title Mr Mrs Miss Ms Other

First name(s)

Last name

Date of birth

Primary contact number Mobile phone number

Email address

Country of birth Town of birth

Mother's maiden name

Permanent home address
Postcode

Tax Residence Details:

Country of tax residence

National Insurance/Tax Identification Number I have no National Insurance Number/ Tax Identification Number

If you do not have a National Insurance Number/ Tax Identification Number, please indicate why

Additional country of tax residence (1)

Taxpayer Identification Number (1)

Additional country of tax residence (2)

Taxpayer Identification Number (2)

Are you a United States Green Card holder? Yes No

Nationality Details:

Please disclose your nationality details, by completing the country of each (up to three) in the boxes below.

Primary Nationality Details:

If you have sole UK Nationality, you do not need to complete this part. For all other customers, you will need to establish your Primary Nationality and complete the relevant National Identifier & National ID Code for this in the box below, according to the instructions in Appendix (at the end of the form) or using www.nationalitycalculator.co.uk.

	National Identifier	National ID Code	Tick if unable to provide
First Priority	<input type="text"/>	<input type="text" value="1"/>	<input type="checkbox"/>
Second Priority	<input type="text"/>	<input type="text" value="2"/>	<input type="checkbox"/>

Section A Joint Applicant Details - fourth applicant

Ti- Mr Mrs Miss Ms Other

First name(s)

Last name

Date of birth

Primary phone number Mobile phone number

Email address

Country of birth Town of birth

Mother's maiden name

Permanent home address

Postcode

Tax Residence Details:

Country of tax residence

National Insurance/Tax Identification Number I have no National Insurance Number/ Tax Identification Number

If you do not have a National Insurance Number/ Tax Identification Number, please indicate why

Additional country of tax residence (1)

Taxpayer Identification Number (1)

Additional country of tax residence (2)

Taxpayer Identification Number (2)

Are you a United States Green Card holder? Yes No

Nationality Details:

Please disclose your nationality details, by completing the country of each (up to three) in the boxes below.

Primary Nationality Details:

If you have sole UK Nationality, you do not need to complete this part. For all other customers, you will need to establish your Primary Nationality and complete the relevant National Identifier & National ID Code for this in the box below, according to the instructions in the Appendix (at the end of the form) or using www.nationalitycalculator.co.uk.

	National Identifier	National ID Code	Tick if unable to provide
First Priority	<input type="text"/>	<input type="text" value="1"/>	<input type="checkbox"/>
Second Priority	<input type="text"/>	<input type="text" value="2"/>	<input type="checkbox"/>

Section B Employer Contract Notes

Does your employer need copies of your contract notes?
Please note: we can only issue one copy contract note per account

Yes

No

If so, please provide your employer's details (including contact name, UK office address and postcode).

Section C Banking Details - this must be completed

You must set up a Direct Debit to us from your nominated bank account by filling in this section. This will allow you to pay money into your account and allow us to transfer any income you receive from dividends and proceeds from sales to your bank account. The nominated bank account provided must be held in the name of all applicants.

Setting up regular payments

Do you want to make regular payments from your bank account into your Selftrade account?

Yes

No

If Yes, please choose the date you would like payments to be debited from your bank account.

1st

15th

If the regular payment date falls on a non-working day, the payment will be collected on the next working day.

Amount that you would like to transfer each month

£

Instruction to your bank or building society to pay by Direct Debit



Name and full postal address of your bank or building society:

Service User Number

8 3 8 5 1 0

To: The Manager

Bank/Building Society

Service User Reference (if applicable)

Selftrade

Bank/Building Society address

Postcode

Instruction to your bank or building society

Please pay Equiniti Financial Services Limited, trading as Selftrade ("Selftrade"), Direct Debits from the account detailed in this instruction, subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Selftrade and, if so, will be passed electronically to my bank/building society.

Name of Account Holder(s)

Signature(s)

Print name(s)

Date

Bank/Building Society account number

Branch sort code

We recommend that you retain a copy of the Direct Debit guarantee

The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit, Equiniti Financial Services Limited, trading as Selftrade ("Selftrade"), will notify you 5 working days in advance of your account being debited or as otherwise agreed. If you request Selftrade to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Selftrade or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
- If you receive a refund you are not entitled to, you must pay it back when Selftrade asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



Section D Funding your Dealing Account

1. I/We enclose a cheque in my/our own name(s) payable to "Selftrade" in order to subscribe to my/our Dealing Account. I/We understand that this will be returned to me/us should my/our application be unsuccessful. Please write the amount of the cheque in this box. £
2. I/We wish to credit funds by Mastercard Debit Card or VISA Delta Debit Card to my/our Dealing Account. (You can do this on the secure website or by contacting Customer Services once your application has been accepted).
3. I/We have completed the request to make a payment or regular payment from my/our nominated bank account to my/our Dealing Account in Section C of this application form. If making regular payments, I/We understand these will be taken on either the 1st or 15th of the month, and will begin once my/our application has been accepted.
4. I/We wish to transfer from another plan Manager. I/We have completed and signed a Dealing Account Transfer In form.
5. I/We wish to transfer Certificated Shares into my/our Dealing Account. I/We have completed a CREST transfer form for each holding.

Section E Alerts and Marketing Preferences

Alert Preferences

We can send email or text message alerts to let you know when there are important secure messages in your inbox. Please indicate below if you would like to receive alerts, and ensure you have provided a valid email address and/or mobile number in Section A.

Email

SMS Alerts

Marketing Preferences

Here at Selftrade, we like to make sure that you never miss an opportunity. From exclusive offers, events and promotions to breaking news on upcoming IPO's, expert investment guidance and tips, market news, insights and much more - we want you to be amongst the first to know. So that we can deliver the very best service to you, simply let us know your preferences below.

We won't share your details with external third parties and we'll only contact you about information relevant and valuable to you based on your preferences which you can change at any time in your account settings.

Tell us what you'd like to be updated on and how

Equiniti Financial Services Limited (EFSL) which offers Selftrade

	Email	SMS Text	Phone	Post
• Exclusive offers & promotions	<input type="checkbox"/>	N/A	<input type="checkbox"/>	<input type="checkbox"/>
• Upcoming IPOs	<input type="checkbox"/>	<input type="checkbox"/>	N/A	N/A
• Market news & Insights	<input type="checkbox"/>	N/A	N/A	N/A
• New products & services	<input type="checkbox"/>	N/A	N/A	<input type="checkbox"/>

From Equiniti Group Companies

- Pensions & retirement

Sign up for information and updates on Pensions and Retirement products and services from Equiniti Paymaster.

- Overseas Payments & Foreign exchange

Sign up for information from EQ Global, our foreign exchange and overseas payments division.

Marketing preferences for the main account holder will apply to all additional account holders. If you wish to change your preferences at any time, please call Customer Services.

Section F Declaration

I/We declare that

- I am/We are over 18 years of age and wish to open a Selftrade Dealing Account as indicated in this application form.
- I/We agree to be bound by the Selftrade Terms and Conditions which form part of this application form.
- I/We declare that this application form has been completed to the best of my/our knowledge and belief, and I/we will promptly inform Selftrade of any changes in my/our circumstances.

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Joint Applicant (if any) Signature

Date

D	D	M	M	Y	Y	Y	Y
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Joint Applicant (if any) Signature

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Joint Applicant (if any) Signature

Date

D	D	M	M	Y	Y	Y	Y
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Next Steps

1. If your application is accepted you will receive the following:
 - Your account number
 - Under separate cover, your PIN, which you will need to change the first time you log in on the Selftrade website.
2. We may need to request identity documents from you to complete your application.
3. You will receive most communications from us by online secure message, accessible from your account. Should you wish to change this preference, please call **0345 0700 720**.

Please return this form to:

Selftrade
PO BOX 4923
Worthing
BN99 6SF

Appendix National Identifier Instructions

Below, you will find a table that lists the countries of the European Economic Area (EEA), including a section for any non - EEA countries, and details of each country's requested National Identifier. Following the instructions and using the information provided in the table, please establish your **Primary Nationality** & complete the relevant **National Identifier** number in Section A, for all account applicants. Alternatively you can refer to www.nationalitycalculator.co.uk.

You are **not** required to complete the National Identifier details in Section A if you are a UK National **only**.

Using the table

1. Establishing your Primary Nationality

Referring to the table below and the **Country column**, whichever of your nationalities is listed **highest** will be your Primary Nationality. (Please note, the countries are ordered alphabetically according to the National ID Code). *E.g. if you are a national of the United Kingdom and Spain, as Spain is listed higher than the UK in the Country column list, your Primary Nationality will be Spain.*

2. Identifying your National Identifier

Once you have established your **Primary Nationality**, you must provide us with the relevant **National Identifier** details as requested in the table, in the order of priority as indicated in each field. Please refer to the country specific instructions in the table for further guidance. *E.g. using the same example as above, if your country of Primary Nationality is Spain, you will need to complete your Spanish Tax Identification Number & the National ID Code (ES1) in Section A.*

Please note:

- If you are unable to provide a National Identifier number as requested, please complete the National ID Code(s) & **tick the box** in Section A to confirm that you are unable to provide the details.
- You only need to provide **one** National Identifier in the **order of priority** listed in each field. *E.g. if you have established that your country of Primary Nationality is Czech Republic, you should only provide us with your Czech Republic National Passport Number if you are unable to provide your National Identification Number in the first instance and tick to confirm you are unable to provide the first priority National Identifier.*
- *If you have established your **Primary Nationality** as one of the following countries, **Estonia, Spain, Iceland, Italy, Malta or Poland** and you are unable to provide a National Identifier as requested, **trading rules will prevent us from accepting your instruction to trade or transfer.**

Please contact Customer Services if you have any questions regarding this table and/or completing the relevant details in Section A.

Country	National Identifier	National ID Code	Country specific instructions for completing Section A
Austria	No additional data required	AT1	Leave the National Identifier & National ID Code boxes blank.
Belgium	Belgian National Number (Numéro de registre national – Rijksregisternummer)	BE1	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.
Bulgaria	Bulgarian Personal Number	BG1	
Cyprus	National Passport Number	CY1	
Czech Republic	First Priority: National Identification Number (Rodné číslo)	CZ1	Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: National Passport Number	CZ2	
Germany	No additional data required	DE1	Leave the National Identifier & National ID Code boxes blank.
Denmark	Personal Identity Code	DK1	Enter the requested National Identifier & National ID Code details, or tick to confirm if you do not have it.
*Estonia	Estonian Personal Identification Code (Isikukood)	EE1	
*Spain	Tax Identification Number (Código de identificación fiscal)	ES1	
Finland	Personal Identity Code	FI1	
France	No additional data required	FR1	Leave the National Identifier & National ID Code boxes blank.
United Kingdom	UK National Insurance Number	GB1	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.
Greece	10 DSS Digit Investor Share	GR1	
Croatia	Personal Identification Number (OIB – Osobni identifikacijski broj)	HR1	

Appendix National Identifier Instructions continued

Country	National Identifier	National ID Code	Country specific instructions for completing Section A
Hungary	No additional data required	HU1	Leave the National Identifier & National ID Code boxes blank.
Ireland	No additional data required	IR1	
*Iceland	Personal Identity Code	IS1	Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.
*Italy	Fiscal code (Codice Fiscale)	IT1	
Liechtenstein	First Priority: National Passport Number	LI1	Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: National Identity Card Number	LI2	
Lithuania	First Priority: Personal code (Asmens Kodas)	LT1	
	Second Priority: National Passport Number	LT2	
Luxembourg	No additional data required	LU1	Leave the National Identifier & National ID Code boxes blank.
Latvia	Personal Code (Personas Kods)	LV1	Enter the requested National Identifier & National ID Code details, or tick to confirm if you do not have this.
*Malta	First Priority: National Identification Number	MT1	Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: National Passport Number	MT2	
Netherlands	First Priority: National Passport Number	NL1	
	Second Priority: National Identity Card Number	NL2	
Norway	11 digit Personal ID (Foedselsnummer)	NO1	Enter the requested National Identifier & National ID Code details, or tick to confirm if you do not have this.
*Poland	First Priority: National Identification Number (PESEL)	PL1	Enter the National Identifier & National ID Code in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: Tax Number (Number Identyfikacji podatkowej)	PL2	
Portugal	First Priority: Tax Number (Número de Identificação Fiscal)	PT1	
	Second Priority: National Passport Number	PT2	
Romania	First Priority: National Identification Number (Cod Numeric Personal)	RO1	
	Second Priority: National Passport Number	RO2	
Sweden	Personal Identity Number	SE1	Enter the requested National Identifier and National ID Code details or tick to confirm if you do not have this.
Slovenia	Personal Identification Number (EMŠO: Enotna Matična Številka Občana)	SL1	
Slovakia	First Priority: Personal Number (Rodné číslo)	SK1	Enter the National Identifier & National ID Code in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.
	Second Priority: National Passport Number	SK2	
All other Countries (including Crown Dependencies such as Jersey, Guernsey, Isle of Man etc)	National Passport Number	XX1	Enter the requested National Identifier & National ID Code or tick to confirm you do not have it. If you are a national of more than one non - EEA country, please refer to www.nationalitycalculator.co.uk or contact Customer Services who will be able to assist you in establishing your Primary Nationality.